SECTION 1

INTRODUCTION

This section consists of seven subsections. Section 1.1 presents the Yuba County Water Agency’s (YCWA or Licensee) intent to apply to the Federal Energy Regulatory Commission (FERC or Commission) for a new license for the Narrows Hydroelectric Project, FERC Project Number (No.) 1403 (Project), and YCWA’s designated agent for the relicensing. Section 1.2 provides a description of YCWA. Section 1.3 provides a brief description of the Project. Section 1.4 describes the purpose of this Pre-Application Document (PAD). Section 1.5 presents the process YCWA implemented to acquire the existing, relevant, and available information provided in this PAD. Section 1.6 presents YCWA’s proposed relicensing process plan and schedule, and Section 1.7 provides the communication guidelines that YCWA proposes to follow during the relicensing.

1.1 Yuba County Water Agency’s Intent to Apply for a New License for the Narrows Hydroelectric Project and Its Designated Agent

Pursuant to Section (§) 5.5 of Title 18 of the Code of Federal Regulations (C.F.R.), on or about January 31, 2021, YCWA filed with FERC a Notice of Intent to Apply for a New License for a Major Project - Existing Dam - on or before January 31, 2024, for the Narrows Hydroelectric Project (NOI). YCWA is the existing licensee and current owner of the Project. The FPC issued the Project original license to the Pacific Gas and Electric Company (PG&E) and the Sacramento Valley Utility Company as joint licensees on August 1, 1941, and FERC issued a new license to PG&E on February 11, 1993, stating that the new license would be effective for the period from February 1, 1993, through January 31, 2023. On January 26, 2018, FERC approved PG&E’s request to extend the license term to January 31, 2026. On May 2, 2019, FERC approved the transfer of the license from PG&E to YCWA. YCWA began operating the Project on April 1, 2020.

1 YCWA is also is sometimes referred to as the Yuba Water Agency, or YWA.
2 In this PAD, “relicensing” means the activities an applicant performs to prepare an application for new FERC license, and the application itself is referred to as the “Application for New License.”
3 FERC Accession No. 19930217-0389
4 FERC Accession No. 20180126-3023
5 FERC Accession No. 20190502-3076
The exact name, business address and telephone number of the person authorized to act as agent for YCWA for the Narrows Hydroelectric Project relicensing are:

Mr. Willie Whittlesey  
General Manager  
Yuba County Water Agency  
1220 F Street  
Marysville, CA 95901-4226  
Tel: (530) 741-5000  
WWhittlesey@yubawater.org

1.2 The Yuba County Water Agency

YCWA, located in Marysville, California, is a public agency formed in 1959 pursuant to the Yuba County Water Agency Act (West’s California Water Code App. §§ 84-1 – 84-28). Section 4.1 of the Yuba County Water Agency Act (West’s California Water Code App. § 84-4.1) authorizes YCWA to develop hydroelectric power in connection with YCWA’s projects.6

1.3 Brief Description of the Project

The Project is located on the mainstem of the Yuba River in northern California in Nevada County, California, in the western foothills of the Sierra Nevada. The Project is composed of: 1) one 1,077-foot (ft)-long tunnel that connects a United States (U.S.) Department of Defense, Army Corps of Engineers’ (USACE) tunnel to the Project’s penstock; 2) a 266-ft-long steel pipe penstock that connects the Project tunnel to the Project’s powerhouse and includes a surge pipe; 3) a 12 megawatt powerhouse; and 4) a powerhouse access tram. The FERC Project Boundary includes 2.92-acres (ac): 2.37 ac of YCWA-owned lands, which includes all lands on which aboveground Project facilities and features are located, and 0.55 ac of federal lands, which are above Narrows Tunnel (i.e., no surface facilities on federal lands) and are administered by the USACE. The Project does not include any dams or reservoirs, open water conveyance facilities, switchyards, transmission lines, roads, streamflow gages, recreation facilities, or borrow or spoil areas. Project facilities, which range in elevation from 300 feet (ft) to 849 ft7, are located on the south slope of the river approximately 0.2 mile downstream of Englebright Dam, a federally-owned dam administered by the USACE8, and YCWA’s Narrows 2 Powerhouse and Full

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6 For additional information regarding YCWA, refer to YCWA’s website at www.ycwa.com.
7 In this PAD, all elevation data are in U.S. Department of Commerce, National Oceanic and Atmospheric Association, National Geodetic Survey Vertical Datum of 1929 (NGVD 29), unless otherwise stated.
8 Englebright Dam, which is a high variable radius concrete arch dam approximately 1,142 ft wide and 260 ft high that forms Englebright Reservoir, was constructed by the California Debris Commission in 1941. When the California Debris Commission was decommissioned in 1986, administration of Englebright Dam and Reservoir passed to the USACE. The primary purpose of the dam is to trap and contain sediment derived from extensive historic hydraulic mining operations in the Yuba River watershed. Englebright Reservoir is about 9 mi long and has a shoreline length and surface area of about 24 miles and 815 ac, respectively, at its normal maximum water surface elevation of 527 ft at the spillway crest invert elevation. Englebright Reservoir when first constructed had a gross storage capacity of 70,000 acre-feet (ac-ft); however, due to sediment capture, the gross storage capacity today is estimated to be approximately 50,000 ac-ft (Childs et al. 2003). The dam and its associated facilities, including the USACE’s tunnel do not include hydropower facilities and, as federal facilities, are not under FERC’s jurisdiction.
Bypass, which are located on the north slope of the river at the base of Englebright Dam. Narrows 2 Powerhouse and Full Bypass are part of YCWA’s Yuba River Development Project, FERC Project No. 2246. From the Narrows 1 Powerhouse, the Yuba River flows approximately 24.1 miles to its confluence with the Feather River, which is part of the Sacramento River Basin and drains into the San Francisco Bay. The Yuba River has a drainage area of approximately 1,108 square miles at Englebright Dam and 1,339 square miles at its confluence with Feather River. Figure 1.3-1 illustrates the regional location of the Project. Figure 1.3-2 shows existing Project facilities, features and Project Boundary and nearby surrounding non-Project facilities and features. Refer to Section 2 of this Pre-Application Document (PAD) for a more detailed description of Project facilities, features and operations.
Figure 1.3-1. Yuba River watershed in relation to the Feather River and other tributaries to the Sacramento River.
Figure 1.3-2. YCWA’s Narrows Hydroelectric Project and surrounding non-Project facilities and features.
1.4 **Purpose of the Pre-Application Document**

This PAD provides to FERC and to federal and State of California agencies, Native American tribes, local governments, non-governmental organizations (NGOs), businesses, members of the public, and others interested in the Narrows Hydroelectric Project relicensing summaries of existing, relevant, and reasonably available information, which is in YCWA’s possession at the time the PAD is filed, related to the Project and resources that may be potentially-affected by the Project. In addition, the PAD presents YCWA’s proposal for gathering additional information that may be needed to inform the requirements of the new license.

1.5 **Acquisition of Information**

YCWA conducted searches of its own files and other existing sources of information to compile existing, relevant and reasonable available information for this PAD. This included contacting via e-mail the following agencies, Native Americans and non-governmental organizations (NGO) on or about June 11, 2020:

- United States Department of Defense, Army Corps of Engineers (USACE)
- United States Department of the Interior, Bureau of Land Management (BLM)
- United States Department of the Interior, National Park Service (NPS)
- United States Department of the Interior, Fish and Wildlife Service (USFWS)
- United States Department of Commerce, National Oceanic and Atmospheric Administration, National Marine Fisheries Service (NMFS)
- United States Geological Survey (USGS)
- California Department of Fish and Wildlife (CDFW)
- California Department of Parks and Recreation (CDPR)
- California State Water Resources Control Board (SWRCB)
- California Department of Water Resources (DWR)
- Colfax-Todds Valley
- Concow Maidu Tribe of Mooretown Rancheria
- Greenville Rancheria
- Mechoopda Indian Tribe of Chico Ranch
- Mooretown Rancheria of Maidu Indians
- Nevada City Rancheria Nisenan Tribe
- Pakan'yani Maidu of Strawberry Valley Rancheria

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9 In this PAD, these parties are collectively referred to as “Relicensing Participants.”
Yuba County Water Agency
Narrows Hydroelectric Project
FERC Project No. 1403

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- Shingle Springs Rancheria
- Tsi-Akim Maidu
- United Auburn Indian Community (UAIC)
- Washoe Tribe of Nevada and California
- Foothills Water Network (FWN)
- American Whitewater (AW)
- American Rivers (AR)
- Trout Unlimited (TU)
- California Trout (CalTrout)
- California Sportfishing Alliance (CSPA)
- South Yuba River Citizens League (SYRCL)
- Friends of the River (FOR)
- Nevada Irrigation District (NID)
- Placer County Water Agency (PCWA)
- PG&E

YCW requested from each of the parties:

1. Any existing, relevant and reasonably available information in your possession, or a link to where that information may be accessed, that describes the potentially affected environment. Assume that YCWA already has in its possession all relevant information developed for YCWA’s relicensing of the Yuba River Development Project and all material developed by PG&E or YCWA for the Narrows Hydroelectric Project.

2. A list of any issues you believe YCWA should address in the PAD, including potential Project effects.

3. Any studies you suggest YCWA undertake as part of relicensing to augment existing, relevant and reasonably available information to inform an analysis of Project effects and license requirements.

Documentation of this outreach and responses are included in Appendix A to this PAD. As a summary, YCWA received e-mail responses from USACE, NMFS, USFWS, CDFW, UAIC and FWN\textsuperscript{10}, and spoke to BLM by telephone. USACE, BLM and UAIC did not suggest any specific studies or offer specific comments. NMFS did not suggest any specific studies, but requested YCWA consider all information NMFS filed with FERC on the Yuba River Development Project.

\textsuperscript{10} Foothills Water Network is a member organization. Members that signed the July 3, 2020, FWN letter to YCWA providing comments were CSPA, SYRCL, TU, AW, AR, FOR, Northern California Council, Fly Fishers International, Gold Country Fly Fishers, Nevada City Rancheria Nisenan Tribe, and Sierra Club - Mother Lode Chapter.
Project relicensing, and also requested that YCWA clarify in the PAD four specific items. USFWS suggested 16 specific studies, and provided a list of information sources and issues. CDFW did not suggest any specific studies and requested YCWA consider all information and issues CDFW included in its FPA Section 10(j) filing for the Yuba River Development Project relicensing as well as recent CNDDB data for Peregrine falcon (*Falco peregrinus*) in the Project area. FWN suggested 15 specific studies, and provided a list of information it suggested YCWA review, and issues FWN believed should be addressed in the relicensing. Refer to Section 4.0 in this PAD for further discussion of these suggested studies and issues.

YCWA held a web-based conference call with interested Relicensing Participants on July 8, 2020, to provide a Project and relicensing overview and answer any questions. Besides YCWA and its consultant, representatives from the following agencies and NGOs participated in the meeting: USACE, BLM, NMFS, USFWS, DWR, CDFW, NID, FWN, SYRCL and AW. No action items resulted from the conference call.

### 1.6 YCWA’s Relicensing Process Plan and Schedule

#### 1.6.1 Regulatory Relicensing Deadlines

On or about January 31, 2021, YCWA filed with FERC a request for FERC to authorize YCWA to use the traditional licensing process (TLP), as described in 18 C.F.R., Part 4 and Part 16, rather than the Integrated Licensing Process (ILP), as described in 18 C.F.R., Part 5, to relicense the Narrows Hydroelectric Project. However, regardless of whether YCWA uses the TLP or ILP, some dates are fixed by the current license expiration date. These fixed dates are:

- **July 31, 2020** – The earliest date YCWA may file a NOI to file an application for a new license and a PAD.
- **January 31, 2021** – The latest date YCWA may file an NOI and PAD.
- **January 31, 2024** – The latest date that YCWA may file a Final Application for New License (FLA).
- **January 31, 2026** – The date the original FERC license for the Project expires.

In addition, regardless of whether YCWA uses the TLP or ILP, FERC will consult with federally-recognized Native American tribes within 30 days of the date YCWA files its NOI and PAD, and FERC will issue its Notice of Commencement of Proceeding within 60 days of the date YCWA files its NOI and PAD.

Since, at the time YCWA files this PAD, it is uncertain whether FERC will approve YCWA’s request to utilize the TLP, Table 1.6-1 shows a schedule for relicensing the Project through filing of the FLA using either the TLP or the ILP. YCWA developed the table using the timeframes set forth in 18 C.F.R., Part 4, for the TLP and in 18 C.F.R., Part 5, for the ILP, and based the

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11 YCWA anticipates that FERC will reply to YCWA’s request to use the TLP within 60 days of the date that YCWA filed its request.
Table 1.6-1 shows for both the ILP and TLP: 1) the pertinent regulations for each activity; 2) the party or parties responsible for initiating the activity; 3) a description of the activity including, where appropriate, a previous activity linked to this activity; and the calendar duration of the activity. When an activity is contingent on completion of a previous activity or an extension may be granted for a designated period, Table 1.6-1 assumes the previous activity is completed the latest possible date shown for that previous activity, unless otherwise indicated.
<table>
<thead>
<tr>
<th>Subsection(s)</th>
<th>Lead</th>
<th>Activity(^1)</th>
<th>Timeframe (Start and Finish)(^3)</th>
<th>Subsection(s)</th>
<th>Lead</th>
<th>Activity(^1)</th>
<th>Timeframe (Start and Finish)(^3)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a)-(g)</td>
<td>YWA</td>
<td>File Notice of Intent (NOI) to file an application for a new license and request for non-federal representative status under § 7 of the Endangered Species Act (ESA) and § 106 of the National Historic Preservation Act (NHPA) (no earlier than 5.5 years and no later than 5 years prior to expiration of the current license)</td>
<td>February 1, 2021(^2) (Monday)</td>
<td>(a)-(g)</td>
<td>YWA</td>
<td>File Notice of Intent (NOI) to file an application for a new license and request for non-federal representative status under § 7 of the Endangered Species Act (ESA) and § 106 of the National Historic Preservation Act (NHPA) (no earlier than 5.5 years and no later than 3 years prior to expiration of the current license)</td>
<td>February 1, 2021(^2) (Monday)</td>
</tr>
<tr>
<td>(a)-(e)</td>
<td>YWA</td>
<td>File Pre-Application Document (PAD) (no earlier than 5.5 years and no later than 3 years prior to expiration of the current license)</td>
<td>February 1, 2021(^2) (Monday)</td>
<td>(a)-(e)</td>
<td>YWA</td>
<td>File Pre-Application Document (PAD) (no earlier than 5.5 years and no later than 3 years prior to expiration of the current license)</td>
<td>February 1, 2021(^2) (Monday)</td>
</tr>
<tr>
<td>--</td>
<td>FERC</td>
<td>Hold meeting with potentially affected Native American tribes (NLT 60 days of date NOI and PAD filed)</td>
<td>February 2, 2021 (Tuesday)</td>
<td>--</td>
<td>FERC</td>
<td>Hold meeting with potentially affected Native American tribes (NLT 60 days of date NOI and PAD filed)</td>
<td>February 2, 2021 (Tuesday)</td>
</tr>
<tr>
<td>(s)</td>
<td>FERC</td>
<td>Issue Notice of Commencement of Proceeding (NCP) and decision regarding YWA’s request to use TLP (NLT 60 days of date NOI and PAD filed)</td>
<td>February 2, 2021 (Tuesday)</td>
<td>(s)</td>
<td>FERC</td>
<td>Issue Notice of Commencement of Proceeding (NCP) and decision regarding YWA’s request to use TLP (NLT 60 days of date NOI and PAD filed)</td>
<td>February 2, 2021 (Tuesday)</td>
</tr>
<tr>
<td>(b)</td>
<td>FERC</td>
<td>Request initiation of informal consultation under § 7 of the ESA and/or § 106 of the NHPA, if appropriate (NLT 60 days of date NOI and PAD filed)</td>
<td>February 2, 2021 (Tuesday)</td>
<td>(b)</td>
<td>FERC</td>
<td>Request initiation of informal consultation under § 7 of the ESA and/or § 106 of the NHPA, if appropriate (NLT 60 days of date NOI and PAD filed)</td>
<td>February 2, 2021 (Tuesday)</td>
</tr>
<tr>
<td>(c)</td>
<td>FERC</td>
<td>Issue Scoping Document 1 (SD1) (NLT 60 days of date NOI and PAD filed)</td>
<td>February 2, 2021 (Tuesday)</td>
<td>(c)</td>
<td>FERC</td>
<td>Issue Scoping Document 1 (SD1) (NLT 60 days of date NOI and PAD filed)</td>
<td>February 2, 2021 (Tuesday)</td>
</tr>
<tr>
<td>--</td>
<td>YWA</td>
<td>Consult with resource agencies, Native American tribes and members of the public on the scheduling of a joint meeting (NLT 15 days in advance of the joint meeting)</td>
<td>April 3, 2021 (Saturday)</td>
<td>--</td>
<td>YWA</td>
<td>Consult with resource agencies, Native American tribes and members of the public on the scheduling of a joint meeting (NLT 15 days in advance of the joint meeting)</td>
<td>April 3, 2021 (Saturday)</td>
</tr>
<tr>
<td>(e)</td>
<td>FERC</td>
<td>Post notice of NEPA scoping meeting in Federal Register and local newspapers (NLT 30 days of date NCP issued)</td>
<td>April 3, 2021 (Saturday)</td>
<td>(e)</td>
<td>FERC</td>
<td>Post notice of joint meeting in local newspapers, including purpose, location, time and agenda (NLT 14 days in advance of the joint meeting)</td>
<td>April 3, 2021 (Saturday)</td>
</tr>
<tr>
<td>(e)</td>
<td>FERC</td>
<td>Notify agencies, tribes and non-governmental organizations by mail of scoping meeting (NLT 30 days of date NCP issued)</td>
<td>April 3, 2021 (Saturday)</td>
<td>(e)</td>
<td>FERC</td>
<td>Provide to resource agencies, Native American tribes and FERC a written notice of the time and place of the joint meeting and an agenda of the issues to be discussed at the joint meeting (NLT 13 days in advance of the joint meeting)</td>
<td>April 3, 2021 (Saturday)</td>
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</tbody>
</table>

**Notes:**
- \(^1\) Subsection(s) and Lead indicate the responsible entity.
- \(^2\) Timeframe indicates the date and day of the action.
- \(^3\) Timeframe is specified in terms of Start and Finish dates.
- \(^4\) Activities are listed with their respective subsections and lead entities.

**References:**
- 18 C.F.R. § 5.5. NOTIFICATION OF INTENT
- 18 C.F.R. § 5.6. PRE-APPLICATION DOCUMENT
- 18 C.F.R. § 5.7. TRIBAL CONSULTATION
- 18 C.F.R. § 5.8. NOTICE OF COMMENCEMENT OF PROCEEDING, DECISION ON USE OF TLP, AND INITIATION OF ESA AND NHPA INFORMAL CONSULTATION
- 18 C.F.R. § 5.9. ISSUE SCOPING DOCUMENT 1
- 18 C.F.R. § 5.10. HOLD NEPA SCOPING MEETING AND SITE VISIT
- 18 C.F.R. § 5.11. FIRST STAGE CONSULTATION – HOLD JOINT MEETING AND SITE VISIT
### Table 1.6-1. (continued)

<table>
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<th>Subsection(s)</th>
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<th>Lead</th>
<th>Activity</th>
<th>Timeframe (Start and Finish)</th>
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<tr>
<td><strong>18 C.F.R. § 5.8. HOLD NEPA SCOPING MEETING AND SITE VISIT (Continued)</strong></td>
<td></td>
<td>FERC</td>
<td>Hold NEPA scoping meeting and conduct site visit (NET 30 days of date NCP issued)</td>
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<td></td>
<td>YWA</td>
<td>Hold the joint meeting and provide an opportunity for a site visit to review the information and discuss the data and studies to be provided by YWA as part of the consultation process (No earlier than NET 60 days but NET 60 days of date NCP is issued)</td>
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<tr>
<td></td>
<td></td>
<td>Relicensing Participants</td>
<td>Resource agencies, Native American tribes and members of the public may attend the NEPA scoping meeting to identify issues for NEPA scoping, preliminary identify study needs, discuss process plan and schedule, and coordinating agency status (NET 30 days of date NCP issued)</td>
<td></td>
<td></td>
<td>Relicensing Participants</td>
<td>Resource agencies, Native American tribes and members of the public may attend the joint meeting to express their views regarding resource issues that should be addressed in the application. Attendance of the public at the site visit is at the discretion of YWA (NET 30 days but NET 60 days of date NCP is issued)</td>
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<tr>
<td></td>
<td></td>
<td>FERC</td>
<td>Post either an audio recording or written transcripts of the joint meeting on e-Library</td>
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<td></td>
<td>YWA</td>
<td>Make either an audio recording or written transcripts of the joint meeting, and promptly provide copies of these recordings, upon request (Promptly provide to FERC, agencies and Indian tribes, upon request)</td>
</tr>
<tr>
<td><strong>18 C.F.R. § 5.9. COMMENTS AND INFORMATION OR STUDY REQUESTS</strong></td>
<td></td>
<td>YWA &amp; Relicensing Participants</td>
<td>File comments on PAD and SD1, and request studies (NET 60 days of date NCP issued)</td>
<td></td>
<td></td>
<td>Relicensing Participants</td>
<td>Participants’ determination of necessary studies to be performed or the information to be provided by YWA (NET 60 days after joint meeting unless deadline is extended to 120 days by FERC)</td>
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<tr>
<td><strong>18 C.F.R. § 5.10. APPLICANT’S PROPOSED STUDY PLAN AND STUDY PLAN MEETINGS</strong></td>
<td></td>
<td>FERC</td>
<td>Issue Scoping Document 2 (SD2) (NET 45 days of the end of PAD and SD1 comment period)</td>
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<td>Relicensing Participants</td>
<td>Provide to YWA written comments identifying Relicensing Participants’ determination of necessary studies to be performed or the information to be provided by YWA (NET 60 days after joint meeting unless deadline is extended to 120 days by FERC)</td>
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<td><strong>18 C.F.R. § 5.11. REVISED STUDY PLAN AND STUDY PLAN DETERMINATION</strong></td>
<td></td>
<td>YWA</td>
<td>File Revised Study Plan (NET 60 days of date Proposed Study Plan comment period ends)</td>
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<td>Relicensing Participants</td>
<td>File comments on Revised Study Plan (NET 15 days of the date Revised Study Plan is filed)</td>
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<td>FERC</td>
<td>Issue Study Plan Determination (NET 10 days of date Revised Study Plan is filed)</td>
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<td>FERC</td>
<td>Revised Study Plan deemed approved (30th day after FERC Determination issued)</td>
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<td>FERC</td>
<td>Revised Study Plan deemed approved (30th day after FERC Determination issued)</td>
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<td>YWA</td>
<td>Making either an audio recording or written transcripts of the joint meeting, and promptly provide copies of these recordings, upon request (Promptly provide to FERC, agencies and Indian tribes, upon request)</td>
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<td><strong>18 C.F.R. § 5.14. FORMAL STUDY DISPUTE RESOLUTION</strong></td>
<td></td>
<td>Mandatory Conditioning Agencies and Tribes</td>
<td>File Notice of Dispute (NOD) (NET 20 days of date FERC Determination issued)</td>
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January 2021
### Table 1.6-1. (continued)

#### Integrated Licensing Process (ILP) vs. Traditional Licensing Process (TLP)

<table>
<thead>
<tr>
<th>Subsection(s)</th>
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<th>Activity</th>
<th>Timeframe</th>
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<tr>
<td><strong>18 C.F.R. § 16.4. FORMAL STUDY DISPUTE RESOLUTION PROCESS (Continued)</strong></td>
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<td><strong>18 C.F.R. § 16.6. FIRST STAGE CONSULTATION - STUDY REQUESTS AND DISPUTE RESOLUTION (Continued)</strong></td>
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<td>(d)</td>
<td>FERC</td>
<td>Convene Dispute Resolution Panel (NLT 20 days after NOD filed)</td>
<td>January 5, 2022 (Wednesday)</td>
<td>January 5, 2022 (Wednesday)</td>
<td>YWA &amp; Relicensing Participants</td>
<td>YWA &amp; Relicensing Participants</td>
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<td>(i)</td>
<td>YWA &amp; Relicensing Participants</td>
<td>File comments on NOD (NLT 25 days after NOD filed)</td>
<td>January 5, 2022 (Wednesday)</td>
<td>January 31, 2022</td>
<td>YWA &amp; Relicensing Participants</td>
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<td>(k)</td>
<td>Director of Office of Energy Projects issues written determination regarding NOD (NLT 70 days after NOD filed)</td>
<td>January 5, 2022 (Wednesday)</td>
<td>March 15, 2022 (Tuesday)</td>
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<td>(l)</td>
<td>FERC</td>
<td>Deliver to FERC finding on NOD (NLT 30 days after NOD filed)</td>
<td>January 5, 2022 (Wednesday)</td>
<td>February 23, 2022 (Wednesday)</td>
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</tr>
<tr>
<td>(b)(6)(i)</td>
<td>YWA &amp; Relicensing Participants</td>
<td>YWA &amp; Relicensing Participants</td>
<td>During first stage consultation, if YWA and Relicensing Participant disagree regarding any matter or regarding the need to conduct a study or gather information, YWA or the Relicensing Participant may refer the dispute in writing to FERC for resolution, providing a copy to other affected parties (any time during first stage consultation)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(b)(6)(ii)</td>
<td>Disagreeing Party</td>
<td>Disagreeing Party</td>
<td>If a dispute is filed with FERC, the disagreeing party may file a response (NLT 15 days from the date the dispute is filed with FERC)</td>
<td></td>
<td></td>
<td></td>
<td>NLT 15 days from the date the dispute is filed with FERC</td>
</tr>
<tr>
<td>(b)(6)(iv)</td>
<td>FERC</td>
<td>FERC resolves dispute</td>
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<tr>
<td><strong>18 C.F.R. § 16.5. CONDUCT STUDIES</strong></td>
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<td><strong>18 C.F.R. § 16.6. SECOND STAGE CONSULTATION – CONDUCT STUDIES</strong></td>
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<td></td>
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</tr>
<tr>
<td>(a)</td>
<td>YWA</td>
<td>Conduct studies</td>
<td>January 5, 2022 (Wednesday)</td>
<td>January 5, 2024 (Friday)</td>
<td>YWA</td>
<td>YWA</td>
<td>July 2, 2021 (Friday)</td>
</tr>
<tr>
<td>(b)</td>
<td>YWA</td>
<td>File periodic progress reports</td>
<td></td>
<td></td>
<td>FERC</td>
<td>FERC</td>
<td></td>
</tr>
<tr>
<td>(c)(1)</td>
<td>YWA</td>
<td>YWA</td>
<td>File Initial Study Report (NLT 1 year after FERC’s approval of Revised Study Plan)</td>
<td>January 5, 2022 (Wednesday)</td>
<td>January 4, 2023 (Wednesday)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(c)(2)</td>
<td>YWA</td>
<td>YWA</td>
<td>Hold Initial Study Report meeting (NLT 15 days after Initial Study Report filed)</td>
<td>January 5, 2023 (Thursday)</td>
<td>January 19, 2023 (Thursday)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(c)(3)</td>
<td>YWA</td>
<td>YWA</td>
<td>Hold Initial Study Report meeting summary including proposed plan modifications and new studies (NLT 15 days after Initial Study Report meeting)</td>
<td>January 20, 2023 (Friday)</td>
<td>February 6, 2023 (Monday)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(c)(7)</td>
<td>FERC</td>
<td>FERC</td>
<td>Approval of meeting summary and study plan modifications if no disagreements filed (90th day after meeting summary filed)</td>
<td>March 8, 2023 (Wednesday)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(c)(4)</td>
<td>Relicensing Participants</td>
<td>Relicensing Participants</td>
<td>File disagreements with meeting summary including YWA’s proposed study plan modifications and new studies (NLT 30 days after initial Initial Study Report meeting summary filed)</td>
<td>February 7, 2023 (Tuesday)</td>
<td>March 8, 2023 (Wednesday)</td>
<td></td>
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<tr>
<td>(c)(5)</td>
<td>YWA &amp; Relicensing Participants</td>
<td>YWA &amp; Relicensing Participants</td>
<td>File responses to disagreements (NLT 30 days after disagreement period ends)</td>
<td>March 9, 2023 (Thursday)</td>
<td>April 7, 2023 (Friday)</td>
<td></td>
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</tr>
<tr>
<td>(c)(6)</td>
<td>YWA</td>
<td>YWA</td>
<td>Resolve disagreement and amend study plan (NLT 30 days after responses to disagreements period ends)</td>
<td>April 8, 2023 (Saturday)</td>
<td>May 8, 2023 (Monday)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(d)</td>
<td>YWA</td>
<td>YWA</td>
<td>File Updated Study Report, including election of YWA to file a DLA rather than a PLP, if YWA chose to do so (NLT 2 year after FERC’s approval of Revised Study Plan)</td>
<td>March 9, 2023 (Thursday)</td>
<td>January 4, 2024 (Thursday)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(e)(2)</td>
<td>YWA</td>
<td>YWA</td>
<td>Hold Updated Study Report meeting (NLT 15 days after date Updated Study Report filed)</td>
<td>January 5, 2024 (Friday)</td>
<td>January 19, 2024 (Friday)</td>
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<tr>
<td>Subsection(s)</td>
<td>Activity¹</td>
<td>Timeframe (Start and Finish)²</td>
<td>Subsection(s)</td>
<td>Activity¹</td>
<td>Timeframe (Start and Finish)²</td>
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<tr>
<td>(c)(3)</td>
<td>YWA</td>
<td>File Updated Study Plan meeting summary including YWA’s proposed study plan modifications and new studies (NLT 30 days after Updated Study Report meeting)</td>
<td>January 20, 2024 (Saturday) - February 5, 2024 (Monday)</td>
<td>c(6)(ii)</td>
<td>YWA, FERC, Native American tribes</td>
<td>YWA may file a response (NLT 15 days from the date YWA files the dispute with FERC)</td>
<td></td>
</tr>
<tr>
<td>(c)(7)</td>
<td>FERC</td>
<td>Approve revised study plan and proposed study modifications</td>
<td>March 5, 2024 (Tuesday) - March 3, 2024 (Tuesday)</td>
<td>c(5)</td>
<td>YWA, Disagreeing Party</td>
<td>NLT 15 days in advance of the joint meeting</td>
<td></td>
</tr>
<tr>
<td>(c)(4)</td>
<td>Relicensing Participants</td>
<td>File disagreements with meeting summary and proposed study modifications and new studies (NLT 30 days after Updated Study Report meeting summary filed)</td>
<td>February 6, 2024 (Tuesday) - March 5, 2024 (Tuesday)</td>
<td>c(4)</td>
<td>YWA, Relicensing Party</td>
<td>YWA and the disagreeing resource agency or Native American tribe may conclude the joint meeting with a document embodying any agreement and any issues that are unresolved (NLT 15 days in advance of the joint meeting)</td>
<td></td>
</tr>
<tr>
<td>(c)(5)</td>
<td>YWA &amp; Relicensing Participants</td>
<td>File response to disagreements (NLT 30 days after disagreement period ends)</td>
<td>March 6, 2024 (Wednesday) - April 4, 2024 (Thursday)</td>
<td>c(5)</td>
<td>YWA, Disagreeing Party</td>
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<td></td>
</tr>
<tr>
<td>(c)(6)</td>
<td>FERC</td>
<td>Resolve disagreement and amended study plan (NLT 30 days after response to disagreements period ends)</td>
<td>April 5, 2024 (Friday) - May 5, 2024 (Monday)</td>
<td>c(6)(ii)</td>
<td>YWA, FERC, Native American tribes</td>
<td>YWA may file a response (NLT 15 days from the date YWA files the dispute with FERC)</td>
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</tbody>
</table>

18 C.F.R. § 16.18. SECOND STAGE CONSULTATION – CONDUCT STUDIES (cont.)

<table>
<thead>
<tr>
<th>Subsection(s)</th>
<th>Activity¹</th>
<th>Timeframe (Start and Finish)²</th>
<th>Subsection(s)</th>
<th>Activity¹</th>
<th>Timeframe (Start and Finish)²</th>
</tr>
</thead>
<tbody>
<tr>
<td>(c)(2)</td>
<td>Relicensing Participants</td>
<td>During Second Stage Consultation, a Relicensing Participant may request YWA conduct a study or gather information not previously identified. YWA must promptly initiate the study or gather the information, unless it refers the request to FERC for resolution (during second stage consultation)</td>
<td></td>
<td>c(2)</td>
<td>YWA, FERC, Native American tribes</td>
</tr>
<tr>
<td>(c)(6)(i)</td>
<td>YWA</td>
<td>If YWA files the dispute with FERC, other affected parties may file a response (NLT 15 days from the date the dispute is filed with FERC)</td>
<td></td>
<td>(c)(6)(c)</td>
<td>YWA, FERC</td>
</tr>
</tbody>
</table>

18 C.F.R. § 16.18. SECOND STAGE CONSULTATION – DRAFT LICENSE APPLICATION

<table>
<thead>
<tr>
<th>Subsection(s)</th>
<th>Activity¹</th>
<th>Timeframe (Start and Finish)²</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a)-(d)</td>
<td>YWA</td>
<td>File Preliminary Licensing Proposal (PLP) or Draft License Application (DLA) (No less than 150 days prior to deadline for filing license application)</td>
</tr>
<tr>
<td>(e)</td>
<td>Relicensing Participants</td>
<td>File comments on PLP/DLA (NLT 90 days of date PLP or DLA filed)</td>
</tr>
<tr>
<td>(c)(5)</td>
<td>Resource Agencies &amp; Native American Tribes</td>
<td>Provide written comments on DLA to YWA (NLT 90 days of date PLP or DLA filed)</td>
</tr>
<tr>
<td>(c)(6)(ii)</td>
<td>YWA</td>
<td>Consult with disagreeing party and others about scheduling of joint meeting, and provide FERC, disagreeing party and others with written notice of the time and place of the joint meeting and a written agenda of the issues to be discussed at the joint meeting (NLT 15 days in advance of the joint meeting)</td>
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18 C.F.R. § 16.18. SECOND STAGE CONSULTATION – DRAFT LICENSE APPLICATION (cont.)

<table>
<thead>
<tr>
<th>Subsection(s)</th>
<th>Activity¹</th>
<th>Timeframe (Start and Finish)²</th>
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</thead>
<tbody>
<tr>
<td>(c)(5)</td>
<td>Resource Agencies &amp; Native American Tribes</td>
<td>Provide written comments on DLA to YWA (NLT 90 days of date PLP or DLA filed)</td>
</tr>
<tr>
<td>(c)(6)(ii)</td>
<td>YWA</td>
<td>Consult with disagreeing party and others about scheduling of joint meeting, and provide FERC, disagreeing party and others with written notice of the time and place of the joint meeting and a written agenda of the issues to be discussed at the joint meeting (NLT 15 days in advance of the joint meeting)</td>
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18 C.F.R. § 16.18. SECOND STAGE CONSULTATION – DRAFT LICENSE APPLICATION (cont.)

<table>
<thead>
<tr>
<th>Subsection(s)</th>
<th>Activity¹</th>
<th>Timeframe (Start and Finish)²</th>
</tr>
</thead>
<tbody>
<tr>
<td>(c)(6)(ii)</td>
<td>YWA</td>
<td>Consult with disagreeing party and others about scheduling of joint meeting, and provide FERC, disagreeing party and others with written notice of the time and place of the joint meeting and a written agenda of the issues to be discussed at the joint meeting (NLT 15 days in advance of the joint meeting)</td>
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</table>
### Integrated Licensing Process (ILP)

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<thead>
<tr>
<th>Subsection(s)</th>
<th>Lead</th>
<th>Activity</th>
<th>Timeframe (Start and Finish)</th>
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</thead>
<tbody>
<tr>
<td>c(3)</td>
<td>YWA</td>
<td>YWA describe all disagreements with a resource agency or Native American tribe on technical or PM&amp;E measures in its application, including an explanation of the basis for YWA’s disagreement with the resource agency or Native American tribe.</td>
<td>—</td>
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</table>

### Traditional Licensing Process (TLP)

<table>
<thead>
<tr>
<th>Subsection(s)</th>
<th>Lead</th>
<th>Activity</th>
<th>Timeframe (Start and Finish)</th>
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<tbody>
<tr>
<td>c(3)</td>
<td>YWA</td>
<td>YWA describe all disagreements with a resource agency or Native American tribe on technical or PM&amp;E measures in its application, including an explanation of the basis for YWA’s disagreement with the resource agency or Native American tribe.</td>
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#### 18 C.F.R. § 5.13, FILING OF APPLICATION

**File a Final License Application (FLA) (NLT 2 years prior to expiration of the current license)**

<table>
<thead>
<tr>
<th>Timeframe (Start and Finish)</th>
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<tr>
<td>January 31, 2024 (Wednesday)</td>
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**File a Final License Application (FLA) and provide a copy of the FLA to agencies, Native American tribes, governmental offices and consulted members of the public (NLT 2 years prior to expiration of the current license)**

<table>
<thead>
<tr>
<th>Timeframe (Start and Finish)</th>
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<tbody>
<tr>
<td>January 31, 2024 (Wednesday)</td>
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**Include in Exhibit E documentation of all consultation regarding comments, recommendation and proposed terms and conditions and studies. If the comments, recommendation and proposed terms and conditions and studies were not accepted by YWA, describe why. (unspecified)**

**Include in FLA**

---

1. The activity description is a good faith effort to summarize the pertinent regulation. The reader is encouraged to read the specific regulation.

2. 18 C.F.R. § 385.2007(a)(2) provides that if a filing date falls on a Saturday, Sunday or federal legal public holiday, the deadline for filing becomes the next business day. The schedule includes this consideration.

3. When an activity is contingent on completion of a previous activity, the schedule assumes the previous activity is completed the latest date possible for that previous activity, unless otherwise indicated.

4. The ILP schedule assumes that studies begin when FERC’s Study Determination is deemed final, and may continue for 2 years or more, as determined by FERC.

5. The TLP schedule assumes that studies begin after the deadline for providing to YWA written comments identifying necessary studies or information, and may continue until YWA files the FLA.
YCWA anticipates that FERC will issue its own schedule, which will become the formal relicensing schedule, after YCWA files its NOI and PAD, and that FERC’s schedule will include the post-application filing period (i.e., from filing of the FLA through issuance of a new license).

1.6.2  YCWA’s Proposed Location and Dates of the TLP Joint Meeting and Site Visit or the ILP NEPA Scoping Meeting and Site Visit

1.6.2.1  TLP Joint Meeting and Site Visit

If FERC authorizes YCWA to use the TLP and based on the TLP process schedule in Table 1.5-1, YCWA’s proposed date and location of the TLP site visit are as follows:

- **Proposed Site Visit** – from 8:00 AM to 10:30 AM on May 12, 2021, (Wednesday) at the Project.

YCWA proposes holding the TLP joint meeting on the same day as the site visit. Specifically, YCWA proposes:

- **Proposed Joint Meeting** – from 1:00 PM to 5:00 PM on May 12, 2021, (Wednesday) at HDR, Inc., 2379 Gateway Oaks Drive, Suite 200, Sacramento, CA.

The above site visit and joint meeting will only occur if FERC authorizes YCWA’s use of the TLP.

1.6.2.2  ILP NEPA Scoping Meeting and Site Visit

If FERC does not approve YCWA’s request to use the TLP, Section 5.6(d)(1) of 18 C.F.R. requires an applicant using the ILP to include in its PAD a proposal to FERC for dates and locations for FERC’s ILP scoping meeting and site visit. Based on the ILP process schedule in Table 1.5-1, the ILP scoping meeting and site visit would occur in April 2021. YCWA’s propose date and location of the ILP site visit are as follows:

- **Proposed Site Visit** – from 8:00 AM to 10:30 AM on April 7, 2021 (Wednesday) at the Project.

YCWA proposes holding one ILP coordinated scoping meeting on the day of the site visit. Specifically, YCWA proposes:

- **Proposed Scoping Meeting** – from 1:00 PM to 5:00 PM on April 7, 2021 (Wednesday) at HDR, Inc., 2379 Gateway Oaks Drive, Suite 200, Sacramento, CA.

However, FERC will set the schedule and location for a FERC ILP scoping meeting and site visit, if the ILP is used.
1.6.3 **Discretionary Activities**

Table 1.6-1 provides a general schedule of regulatory deadlines, many of which must be adhered to by Relicensing Participants, including YCWA and FERC. However, within the confines of those regulations, YCWA may choose to undertake discretionary activities to facilitate the relicensing, such as holding additional meetings/workshops to collaboratively develop study proposals, review study results, and develop resource management measures. Of note, YCWA may choose to issue the DLA earlier than the dates shown in Table 1.5-1.

1.7 **Relicensing Communication Guidelines**

1.7.1 **Objectives**

The communication guidelines describe how YCWA plans to communicate and interact with Relicensing Participants during the relicensing, regardless of whether the ILP or TLP is used.

It should be noted that:

- These guidelines do not supersede or in any way modify FERC’s regulations, or any other federal regulation related to the relicensing, including those related to Section 106 of the NHPA, Section 7 of the ESA, or Section 401 of the CWA.
- These guidelines do not apply to FERC or any documents, meetings, correspondence, or other actions for which FERC is responsible during the relicensing process.
- These guidelines do not apply to Relicensing Participants. Each Relicensing Participant may choose how it wishes to communicate during the relicensing.
- These are guidelines, not hard rules.
- YCWA may revise these communication guidelines as necessary at any time during the relicensing process.

1.7.2 **Participation**

1.7.2.1 **Participants**

Participation in the relicensing is open to any federal agency; State of California agency; local agency; NGO; Native American tribe, including tribes that are formally recognized by the federal government, tribes that are not formally recognized by the federal government, and members of tribes; business; and unaffiliated members of the public. YCWA assumes that each Relicensing Participant is authorized to speak on behalf of the agency, organization, or affiliation that he or she represents in the relicensing.
1.7.2.2 Late Participation in the Relicensing

YCWA anticipates that each Relicensing Participant that begins participating in the relicensing after the beginning of the relicensing processes (i.e., filing of the NOI and PAD) will take actions, including consulting with YCWA and other Relicensing Participants regarding available information, as necessary to become informed and “up-to-speed” should the Relicensing Participant enter the relicensing after it has formally begun. YCWA intends that late or delayed participation will not be allowed to disrupt the relicensing.

1.7.3 Relicensing Participants Contact List

YCWA will maintain a list of parties that are likely to be interested in the relicensing or that have specifically expressed to YCWA an interest in the relicensing.

YCWA will request that each of these potentially interested parties provide appropriate information (i.e., name, title, affiliation, mailing address, and telephone and fax numbers, and e-mail address) for its designated contact for the relicensing. YCWA assumes that designated contacts will keep the appropriate members of their agency, tribe, or NGO advised of relicensing activities. Also, YCWA anticipates that each agency, tribe, and NGO will notify YCWA if contact information for its designated contact changes.

Relicensing can be a long process. To keep the Relicensing Participants Contact List current, YCWA will periodically issue an e-mail to all those on the Contact List asking for each contact to confirm that he or she wishes to remain on the Contact List. YCWA will assume that those who do not respond in a timely fashion are no longer interested in the relicensing and delete those individuals from the Contact List.

Because YCWA understands that many people would be uncomfortable if their contact information was made readily available, YCWA will not provide the Contact List to parties or otherwise make it available.

1.7.4 Relicensing Website

YCWA has established and will maintain a publicly accessible internet website as a means of making information regarding the relicensing readily available to Relicensing Participants. Examples of information that will be provided on the website include the initial FERC license for the Project including an annotated current license, FERC filings, FERC orders regarding the relicensing, and relicensing documents (e.g., the NOI and PAD, as well as other documents as they are developed). Many of the folders on the website will be empty until the documents for each folder are developed. YCWA’s Relicensing Website can be accessed at https://www.yubawater.org/357/Narrows-Relicensing.
1.7.5 Public Document Room

Relicensing documents required under 18 C.F.R. Sections 5.2 and 16.7 are available to agencies, tribes, NGOs and members of the public for inspection, review and reproduction from 8:00 a.m. to 5:00 p.m., Monday through Friday, at YCWA’s place of business at 1220 F Street, Marysville, CA 95901-4226. Interested parties are instructed to contact Mr. Willie Whittlesey, or his designee, by telephone at (530) 741-5000 to make an appointment to review the information.

1.7.6 Meetings

As noted above, these communication guidelines apply only to YCWA-sponsored meetings. YCWA anticipates that meetings sponsored by another party (e.g., FERC or a Relicensing Participant) will be organized, announced, hosted, and followed-up on by that other party. The guidelines YCWA intends to follow for YCWA-sponsored meetings are provided below.

1.7.6.1 Meeting Locations and Start Time

YCWA intends that meeting locations, including those for regularly scheduled meetings, and start times will be selected by YCWA in consultation with interested Relicensing Participants to ensure the greatest participation by those who wish to attend the meeting and the least amount of inconvenient travel for meeting participants overall. YCWA assumes that each Relicensing Participant will be aware of any meeting start time and location posted on the Relicensing Website Event Calendar. YCWA may temporarily arrange for video or teleconferencing, as a substitute for face-to-face meetings, as required or appropriate, due to COVID-19 pandemic travel and public gathering restrictions.

1.7.6.2 Event Calendar

An Event Calendar that includes scheduled YCWA-sponsored meetings, as well as key relicensing milestone dates, will be maintained on the Relicensing Website. Relicensing Participants and others may view the Event Calendar to see when a meeting is planned. The calendar will provide details, such as location and an agenda for the meeting, and any information that may be required to participate in video or teleconferences.

1.7.6.3 Meeting Agenda

YCWA will develop an agenda for an upcoming YCWA meeting based on regulatory requirements and input from the Relicensing Participants at previous meetings or as otherwise reasonable. Standard items on each meeting agenda will include:

- Introductions
- Safety Moment
- Purpose of Meeting
- Review of Agenda
• Review Overall Relicensing Schedule
• Administrative Items, if any
• Status Reports If Appropriate or Requested, if any
• Specific Meeting Agenda Items
• Review of Decisions and Action Items

Those who plan to attend a YCWA-sponsored meeting, video or teleconference should understand that those at the meeting may re-organize the agenda or proceed through an agenda at a faster or slower pace than anticipated when the agenda was developed.

1.7.6.4 Telephone Calling into Planned In-Person Meetings

YCWA believes that in-person participation in a meeting rather than by telephone is a more effective and desirable form of communication. However, to accommodate constrained schedules, encourage participation, and make meetings as accessible as possible to meeting participants, YCWA will attempt to arrange a telephone call-in line for a Relicensing Participant, if the meeting room has such capabilities and if requested by that Relicensing Participant at least 3 days in advance of the meeting. If there is a call-in number available, YCWA will forward the call-in number to the Relicensing Participant who requested it. However, YCWA does not guarantee the quality of the phone connection or that the Relicensing Participant that participates by telephone will be forwarded all material that may be reviewed at the meeting. YCWA does not intend that any Relicensing Participant will routinely participate in meetings by telephone: the telephone call-in line is offered as an occasional remedy, not a permanent accommodation. This policy may be temporarily adjusted due to COVID-19 pandemic travel or public gathering restrictions.

1.7.6.5 Meeting Moderation/Facilitation

YCWA is committed to an open and transparent process with a free exchange of information and interests among YCWA and all Relicensing Participants during meetings. YCWA anticipates that YCWA will lead YCWA-sponsored meetings. YCWA will make a good-faith effort to ensure that all meeting participants are heard during the meeting.

If YCWA and Relicensing Participants jointly agree that a facilitator is pivotal to the success of any particular YCWA-sponsored meeting or group of meetings, YCWA will provide a neutral third-party facilitator for that relicensing meeting or group of meetings.

1.7.6.6 Meeting Action Items and Decisions

YCWA does not intend to prepare a summary of YCWA-sponsored meetings unless: 1) YCWA and Relicensing Participants jointly agree that a summary would be important in tracking a particular issue and agree on specific wording that will be included in the summary; or 2) FERC regulations require a summary of the meeting be prepared and filed with FERC. If YCWA
prepares a summary, YCWA will post the summary on the Relicensing Website Event Calendar for that meeting.

1.7.6.7 Privileged Meetings and Material

Some meetings and information prepared for or shared during a meeting may be Privileged. For example, information on Native American resources and locations of sensitive environmental and cultural resources are considered confidential, Privileged material with restrictions on their distribution. YCWA will share Privileged information with only those Relicensing Participants who have a need to view the material. Further, YCWA anticipates that any Relicensing Participant providing Privileged information to YCWA will identify the information as Privileged or confidential in advance of providing it to YCWA.

1.7.6.8 Attendance at Meetings

YCWA encourages each Relicensing Participant to make a good faith effort to be represented at every YCWA-sponsored relicensing meeting that is of interest to the Relicensing Participant.

1.7.6.9 Preparation for Meetings

YCWA encourages Relicensing Participants to make good faith efforts to arrive at meetings on time, read background information provided before each meeting, and be prepared to effectively discuss topics on the meeting agenda. YCWA encourages Relicensing Participants to discuss material on the agenda with other Relicensing Participants whom they think might be interested in the material.

1.7.6.10 Caucus

YCWA encourages Relicensing Participants to call for a caucus, if needed, at any time during a YCWA-sponsored meeting.

1.7.6.11 Relicensing Participants Unable to Attend a Meeting

If a Relicensing Participant finds that he or she is unable to attend, or is unable to have a representative attend a YCWA-sponsored meeting, the Relicensing Participant may provide to YCWA any input the Relicensing Participant wishes to be considered at the meeting. If this occurs, YCWA will make a good faith effort to convey the information accurately, disclosing who provided the information and when they provided it, to Relicensing Participants at the meeting.

1.7.7 Documents

FERC’s regulations identify a number of documents that are required during relicensing. The ILP and TLP regulations stipulate that either FERC, the applicant, or in some instances another
party, is responsible for producing these necessary documents. YCWA anticipates that there will also be other informal documents generated during the course of the relicensing.

### 1.7.7.1 FERC’s Documents

For documents issued by FERC, YCWA anticipates that FERC will distribute the documents in accordance with FERC’s protocols. YCWA anticipates that all documents issued or received by FERC will be posted and publicly available in the e-Library on FERC’s website at [www.ferc.gov](http://www.ferc.gov). To view these, a Relicensing Participant should click on “Documents and Filing,” “eLibrary,” then “General Search.” FERC’s website provides further instructions for obtaining documents. Each Relicensing Participant can register to receive a notice each time FERC posts a document to its website regarding the relicensing of the Project. To register, a Relicensing Participant should go to FERC’s website, click on “Documents and Filing,” and then “eSubscription.” FERC’s website provides further instructions.

### 1.7.7.2 Non-YCWA or FERC Generated Documents

YCWA expects that any Relicensing Participant who creates, files with FERC, or distributes a document including correspondence will be responsible for the distribution of the document. A Relicensing Participant should not assume that, by using the “Reply All” function in a YCWA-generated e-mail, all Relicensing Participants on the Contact List received his or her e-mail.

YCWA reminds Relicensing Participants that FERC encourages parties when filing material with FERC to submit an electronic filing pursuant to Section 385.2003(a), or file a complete hardcopy original and required number of copies of the filing to the Office of the Secretary, Federal Energy Regulatory Commission, 888 First Street, N.E., Washington, DC 20426. The filing should reference the Project (Narrows Hydroelectric Project and FERC Project No. 1403). Interested parties should check with FERC regarding any special filing requirements or restrictions due to the COVID-19 pandemic.

### 1.7.7.3 YCWA’s Documents

YCWA anticipates using FERC’s e-Filing whenever possible for documents YCWA files with FERC, and anticipates distributing such documents by e-mail, compact disc (CD or DVD), or paper copy to Relicensing Participants, as appropriate. The distribution will also go to FERC’s Service List after FERC establishes a formal Service List. YCWA plans to use e-mail for distribution of informal documents it initiates. YCWA will have the date, the name of the document, and the page number on each page of each document YCWA produces. Other miscellaneous information, such as “draft,” will be shown in the footer of each page of the document, if appropriate.

### 1.7.7.4 Collaboratively Developed Documents

YCWA anticipates that at times YCWA and Relicensing Participants may desire to develop a document collaboratively. In those cases and unless otherwise agreed to by YCWA and Relicensing Participants interested in the document, YCWA plans to use a single-text approach.
Specifically, once an initial draft of the document is developed, YCWA plans to post the document on its Relicensing Website in Microsoft Word or some other appropriate format (i.e., not *.pdf or a password-protected document) that can be downloaded from the Relicensing Website and used by Relicensing Participants. This is referred to as a “Posted File.”

As a Posted File is revised, YCWA anticipates that YCWA or the Relicensing Participant who revises the Posted File will include in the file name the date of the version of the file and the author/ reviser. For instance, a file may be named “Proposal 1 SWRCB050521.doc” to indicate the Posted File is a version of a proposal, the revisions were made by the SWRCB, and the date of the file is May 5, 2021. YCWA anticipates that the author or reviewer will ensure that the appropriate headers and footers are on the file and that the date of the file in the footer matches the date in the file name – this is not YCWA’s responsibility. YCWA plans to post the revised file on the Relicensing Website if YCWA made the revision, or post the file once provided to YCWA if a Relicensing Participant made the revision.

Periodically, YCWA may remove files from the Relicensing Website that have been revised or are otherwise out-of-date.

YCWA intends that all changes to a Posted File will be made in Microsoft Word Track Changes or other appropriate manner so that changes and/or comments can easily be understood, shared, and integrated into a revised text.

YCWA plans that Track Changes on a Posted File may be accepted if YCWA and Relicensing Participants developing the document agree.

**1.7.7.5 Availability of Information in PAD**

In accordance with 18 C.F.R. 5.6(c)(2) and Section 5.2, YCWA plans to provide sources of information on the existing environment and known or potential resource impacts included in the PAD to anyone who requests the information. YCWA will make a good faith effort to provide the document within 30 days of receipt of request. The document may be provided electronically (e.g., by e-mail or on CD/DVD) unless the party requesting asks for the information in hardcopy. Except for agencies, YCWA may charge a reasonable cost for copying and postage for the material.

**1.7.8 Personal Conduct**

**1.7.8.1 Respect for Participants**

YCWA will respect at all times the personal integrity, values, and legitimacy of the interests of each Relicensing Participant, and expects that each Relicensing Participant will do the same.
1.7.8.2 Commitments

YCWA will not make commitments lightly, and expects that Relicensing Participants will do the same.

1.7.8.3 Communicating Interests

At YCWA-sponsored meetings, YCWA will make a good faith effort to ensure that adequate time is provided for the interests of all Relicensing Participants to be discussed and acted upon. However, YCWA does not intend to routinely defer decisions or allow the relicensing process to be disrupted by delays.

YCWA will communicate its interests in topics under consideration, and expects Relicensing Participants will do the same. YCWA firmly believes that it is incumbent upon YCWA and each Relicensing Participant to state its, his or her interests, and that timely voicing of these interests is essential to enable meaningful dialogue and full consideration of different points of view. YCWA will share resource information and identify its understanding of relevant agency laws, regulations and policies with regards to assessment of potential impacts and development of potential resource management measures, and encourages Relicensing Participants to do the same.

1.7.9 Communications

YCWA understands that all Relicensing Participants, including YCWA, are free to communicate informally with each other; however, all parties are encouraged to share relevant communications with YCWA and among all Relicensing Participants, as appropriate.

Other than verbal communications at meetings, YCWA will use e-mail as the primary means of YCWA’s formal communication among Relicensing Participants.

YCWA will treat telephone calls with Relicensing Participants informally, with no specific documentation.